

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING APPLICATION UNDER 37 CFR 53(b)  
WITHOUT FILING FEE OR EXECUTED INVENTOR'S DECLARATION

PTO  
Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Atty Dkt. 604-445  
Date: May 26, 1998

U. S. P. T. O.  
66793 05/26/98

This is a request for filing a new PATENT APPLICATION under Rule 53(b) entitled:  
**DISPERSION MANAGEMENT SYSTEM FOR SOLITON OPTICAL TRANSMISSION SYSTEM**  
without a filing fee and/or without an executed inventor's oath/declaration.

This application is made by the below identified inventor(s). Attached hereto are the following papers:

An abstract together with  
5 pages of specification and claims including  
6 numbered claims and also attached is/are  
4 sheets of accompanying drawings.  
 This application is based on the following prior foreign application(s):

Application No.	Country	Filing Date
9524203.8	Great Britain	27 November 1995
PCT/GB96/02923	WIPO	27 November 1996

respectively, and priority is hereby claimed therefrom.

This application is based on the following prior provisional application(s):

Application No.	Filing Date
-----------------	-------------

respectively, and priority is hereby claimed therefrom.

Certified copy/ies of foreign applications attached.

Please amend the specification by inserting before the first line: --This is a continuation of PCT application No. PCT/GB96/02923, filed 27 November 1996. --

Please amend the specification by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. , filed --

Preliminary amendment to claims (attached hereto), to be entered before calculation of the fee.

Also attached. International Search Report, IDS & PTO 1449

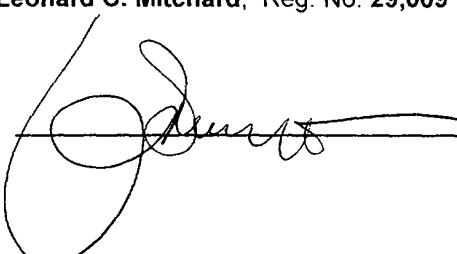
Inventor:	Nicholas (first)	J. MI	DORAN (last)	British (citizenship)
Residence: (city)	Styratford-Upon-Avon, Coventry		(state/country)	Great Britain
Post Office Address:	74 Maidenhead Road, Styratford-Upon-Avon, Coventry, Great Britain (incl zip code)	CV37 6XU		

2. Inventor: Nicholas  
(first) J. SMITH  
Residence: (city) Canterbury, Kent (state/country) Great Britain  
Post Office Address: 180 Old Dover Road, Canterbury, Kent, Great Britain  
(incl zip code) CI1 3EX

NOTE: FOR ADDITIONAL INVENTORS, check box  and attach sheet with same information.  
Address all future communications to NIXON & VANDERHYE P.C., 1100 North Glebe Road, 8<sup>th</sup> Floor, Arlington,  
Virginia 22201.

1100 N. Glebe Road  
8<sup>th</sup> Floor  
Arlington, Virginia 22201  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100

NIXON & VANDERHYE P.C.  
By Atty: Leonard C. Mitchard, Reg. No. 29,009

Signature: 

LCM:cmb

Our Ref.: 604-445  
137318/US

# ***U.S. PATENT APPLICATION***

*Inventor(s):* Nicholas J. DORAN  
Nicholas J. SMITH

*Invention:* DISPERSION MANAGEMENT SYSTEM FOR SOLITON OPTICAL  
TRANSMISSION SYSTEM

*NIXON & VANDERIYE P.C.  
ATTORNEYS AT LAW  
1100 NORTH GLEBE ROAD  
8<sup>TH</sup> FLOOR  
ARLINGTON, VIRGINIA 22201-4714  
(703) 816-4000  
Facsimile (703) 816-4100*

## ***SPECIFICATION***

## Optical communications

This invention relates to optical communications and, in particular, to optical communications systems in which information is transmitted by soliton or soliton-like pulses.

5        Laboratory demonstrations have recently been reported of soliton transmission in systems where the dispersion was not uniformly anomalous along the fibre, instead being periodically compensated by fibre of opposite (normal) sign dispersion. In this manner transmission was achieved at 20Gb/s over 9000km in a recirculating loop, and 8100km in a straight line experiment. These figures are substantially in excess of what has previously  
10      been achieved without the use of soliton control techniques such as sliding filters and synchronous modulators. While it is thus clear that there are significant benefits to be gained from adopting dispersion management in soliton systems, to date there has been little conceptual explanation of the mechanisms behind this improvement.

15      The correct selection of dispersion is a critical issue in the design of amplified long haul optical communication systems. In the case of soliton formatted data, it is dictated by compromise between the desire to minimise timing jitter problems (implying low dispersion), and the need to maintain adequate energy per bit for successful detection. As the energy needed to form a soliton in a uniform fibre is proportional to the dispersion, the latter constraint places a lower limit on the permitted dispersion. Dispersion management  
20      is a technique in the context of non-return-to-zero (NRZ) formatted data in which fibres of opposite sign dispersions are concatenated together. This produces a high local dispersion at any given point, and yet a low path-average dispersion. We have found that, by adopting a suitable dispersion management scheme for soliton or soliton-like transmission, it is possible to increase the soliton energy substantially compared with the equivalent  
25      uniform fibre with equal path-average dispersion.

According to the present invention there is provided a dispersion management system for soliton or soliton-like transmission in which the duration of a dispersion compensation phase is short in comparison with the propagation interval in the remainder of the system.

30      Preferably the system excludes arrangements in which the dispersion map of one fibre is substantially closer to zero than that of its complementary fibre.

The invention will be particularly described with reference to the accompanying drawings in which:

Figure 1 is a dispersion compensation map;

Figure 2 shows the pulse profile at the beginning of each unit cell in a dispersion  
5 managed system. The dispersion map comprises alternating 100km fibres with dispersions of  $-3\text{ps}^2/\text{km}$  and  $+2.8\text{ps}^2/\text{km}$ ;

Figure 3 shows the evolution of a over one period of the dispersion compensation  
cycle

Figure 4 shows the energy required to launch a 20ps FWHM soliton in dispersion  
10 managed system with alternating 100km length fibres chosen such that the path-average dispersion remains at  $-0.1\text{ps}^2/\text{km}$ , and

Figure 5 is an outline diagram of a dispersion management system in accordance  
with one aspect of the invention

Our work is based upon numerical integration of the Nonlinear Schrödinger  
15 Equation (NLS), using the dispersion map shown in Figure 1. The arrangement of a typical system is shown in Figure 5 and comprises a transmitter T and Receiver R lined by a length L of fibre. This fibre is divided into elements l comprising separate sections of fibre N with normal dispersion and fibre A with anomalous dispersion. In a specific embodiment these are of equal length, although the unit cell is defined to start and end at the mid point of one  
20 of the fibres. In the specific examples presented, each of the fibres will be 100km long, and the path average dispersion  $-0.1\text{ps}^2/\text{km}$ . The nonlinear coefficient was taken to be 2.65rad/W/km. To simplify the problem we have chosen to neglect loss and high order dispersion throughout.

We have confirmed the existence of quasi-stable soliton or solitary wave solutions  
25 to this dispersion map. Figures 2 and 3 show the observed behaviour when the dispersion values alternated between  $-3.0\text{ps}^2/\text{km}$  and  $+2.8\text{ps}^2/\text{km}$ , and a 20ps FWHM Gaussian pulse of peak power  $650\mu\text{W}$  was launched into the fibre. Figure 2 shows the intensity profiles at the start of each unit cell; it can be seen that the pulse profile at these points remains unchanged over successive cycles of the dispersion map. The evolution within one unit cell  
30 is shown in Figure 3, the pulse alternately compressing and dispersing as the sign of the dispersion is switched. The power spectrum remains essentially unchanged within the unit

cell.

There are three constraints which must be satisfied to obtain stable solutions to the periodic dispersion map. Firstly, the path average dispersion must be anomalous, in order that the Kerr induced spectral broadening can be compensated. Secondly, the period of the dispersion compensation cycle must be short compared to the nonlinear length of the system. For a 1000Km fibre, the dispersion compensation length is preferably 100Km or less. Finally, dispersion maps in which one of the fibres is much closer to zero dispersion than the other should be avoided. as otherwise energy is rapidly coupled out of the pulse into dispersive waves.

The advantages conferred by a dispersion management scheme on soliton communications stems from the fact that more energy is required to launch a stable pulse than in the equivalent uniform system with equal path average dispersion. This is demonstrated in Figure 4, which plots the pulse energy of the stable solution as a function of the difference between the dispersion values of the two individual fibres. Preferably, the difference between fibre dispersions is less than  $12.0\text{ps}^2/\text{Km}$  and, ideally, less than  $4.0\text{ps}^2/\text{Km}$ . Under optimum conditions it will be  $0.1\text{ps}^2/\text{Km}$  or less. Greater differences between the two fibres results in more energy being required to form a stable pulse; we have also found that lengthening the unit cell's period (with a given pair of dispersion values) increases the required energy. The mechanism behind this increased energy requirement can be understood from the intensity profile within the unit cell, figure 3. Due to the cycle of dispersive broadening and compression, the peak power of the pulse is generally lower than the initial launch power. Therefore the rate of self phase modulation (SPM) is reduced compared to the equivalent uniform fibre, and so more energy is required to balance the path-average dispersion. In the frequency domain, the process could be construed as a reduction in the efficiency of four wave mixing. of which SPM is a special case.

Another highly novel feature of these solitary waves is that their pulse shapes are not the hyperbolic secants of regular optical fibre solitons. The example pulse profile which we have displayed is almost exactly Gaussian in nature, however this is only a special case for that particular dispersion map. As the dispersion variation is increased there is a transition from the uniform fibre hyperbolic secant soliton (time-bandwidth-

product 0.32) to Gaussian (0.44) form, and then to pulse shapes with higher still time-bandwidth-products. An interesting connection can be made at this point with the "stretched pulse" design of mode-locked fibre laser. These incorporate cavities with two opposite signs of dispersion and also produce Gaussian shaped pulses.

5 In cases of soliton or soliton-like transmission in dispersion compensated fibres employing a configuration with zero path average dispersion, undistorted pulse propagation was obtained in this situation due to the presence of optical filters in the recirculating loop. The stable pulses then arose from balancing SPM against filtering, rather than SPM against the path-average dispersion.

10 The technique of dispersion management has the potential to make a significant impact of the realisation of soliton communication systems. It provides major performance benefits, and has the distinct advantage of requiring only passive components. While, in a preferred embodiment, we have used equal lengths of two different fibres, alternative embodiments may use discrete dispersion compensators fabricated from highly dispersive  
15 materials. The adoption of dispersion management represents a convergence between the techniques used in soliton and NRZ formatted transmission.

## Claims

1. A dispersion management system for soliton or soliton-like transmission systems in which fibres of opposite sign dispersions are concatenated together **characterised in that** the duration of a dispersion compensation phase is short in comparison with the propagation interval in the remainder of the system and that the path average dispersion is anomalous.
2. A dispersion management system for soliton or soliton-like transmission systems according to claim 1 **characterised in that** the system excludes arrangements in which the dispersion map of one fibre (N) is substantially closer to zero than that of its complementary fibre (A).
3. A dispersion management system for soliton or soliton-like transmission systems according to claim 2 **characterised in that** the difference between fibre dispersions is less than 12.0ps<sup>2</sup>/km.
4. A dispersion management system for soliton or soliton-like transmission systems according to claim 2 **characterised in that** the difference between fibre dispersions is less than 4.0ps<sup>2</sup>/km.
5. A dispersion management system for soliton or soliton-like transmission systems according to claim 2 **characterised in that** the difference between fibre dispersions is less than 0.1ps<sup>2</sup>/km.
- 20 6. A dispersion management system for soliton or soliton-like transmission systems according to claim 1 **characterised in that** compensation is provided by discrete dispersion compensator means.

## Abstract

A dispersion management system for soliton or soliton-like transmission systems comprises a length of optical fibre (L) in which a plurality of sections (l) made up of components (N,A) of opposite sign dispersions are concatenated together. The duration of the dispersion compensation phase is short in comparison with the propagation interval in the remainder of the system and that the path average dispersion is anomalous.

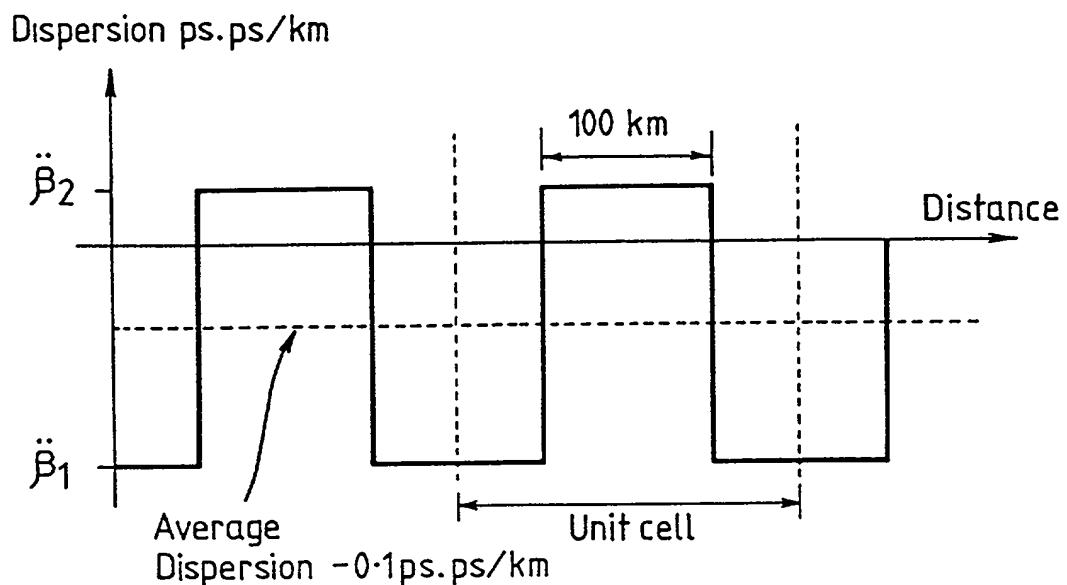


Fig. 1

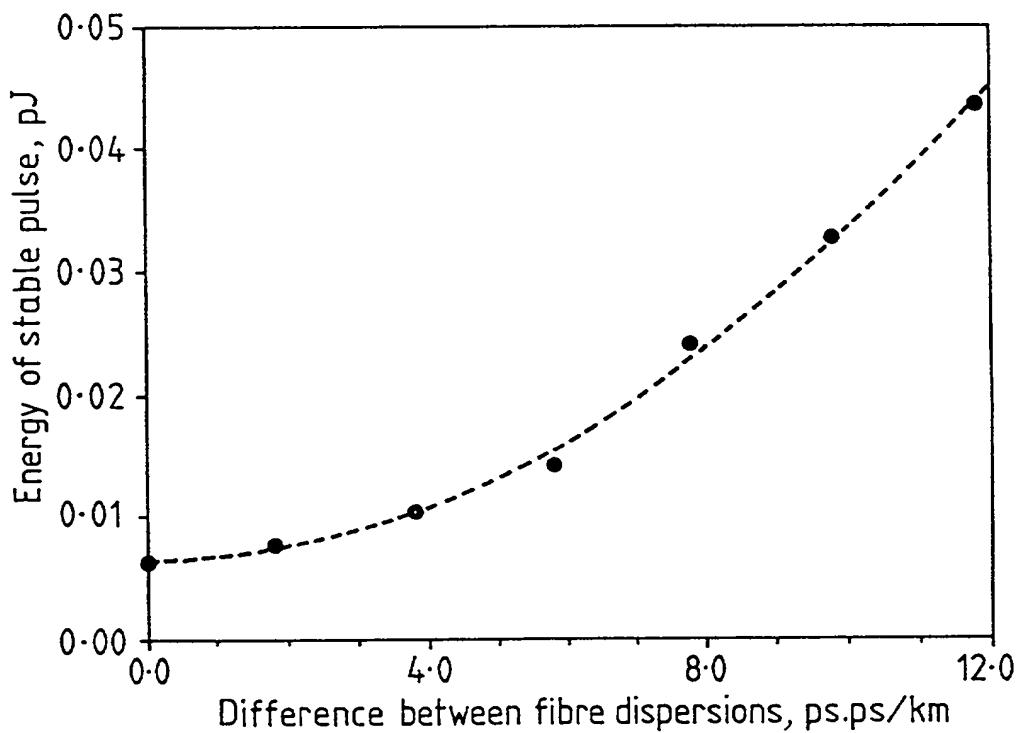


Fig. 4

2/4

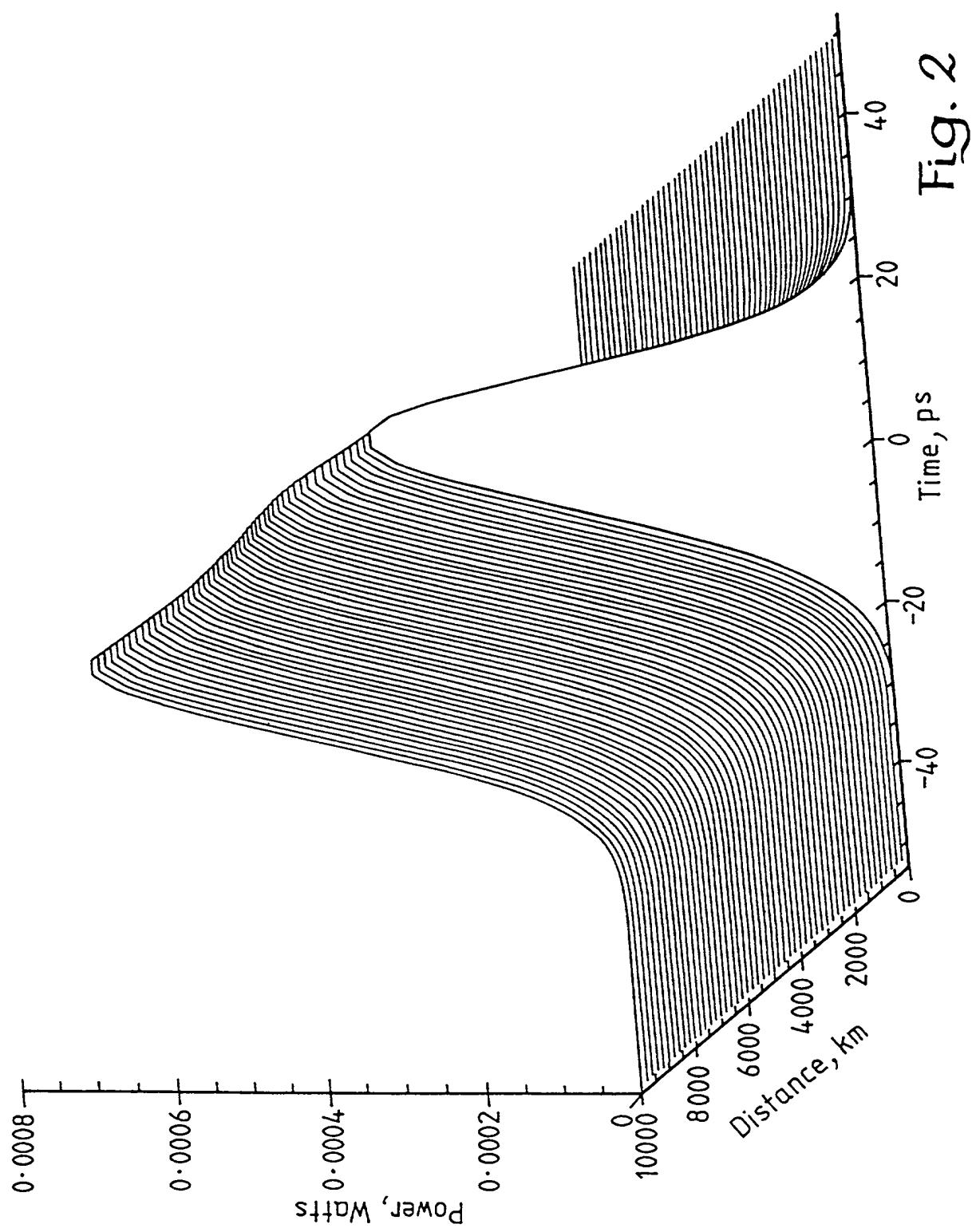
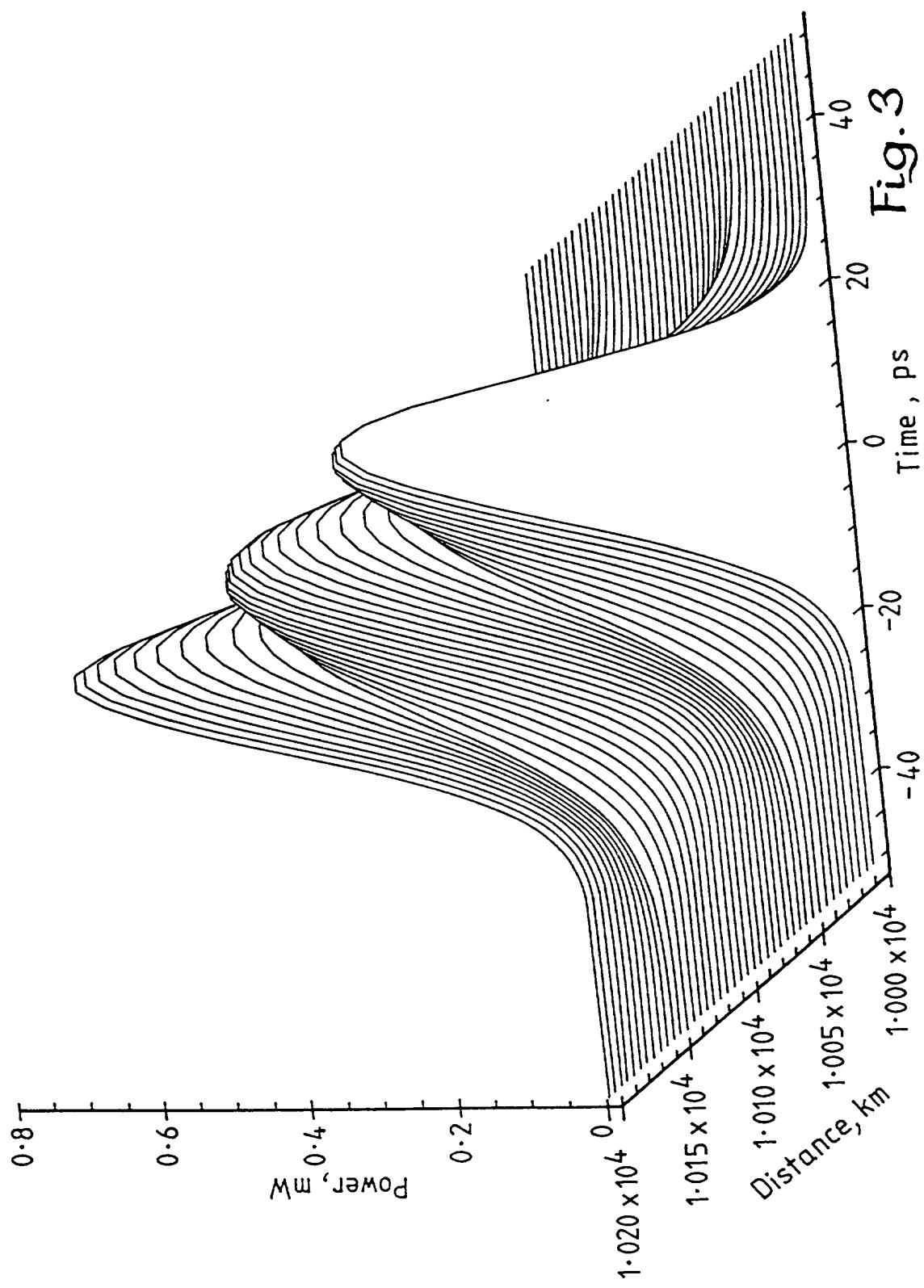


Fig. 2

3/4



4/4

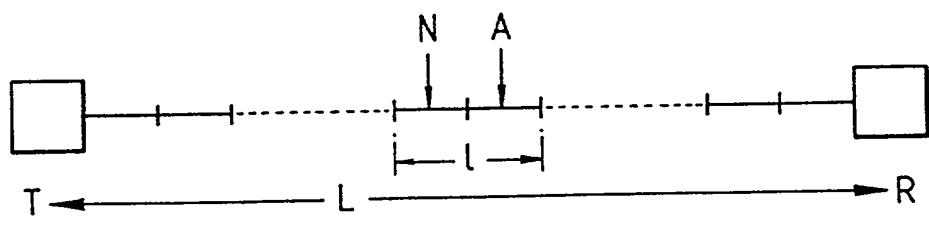
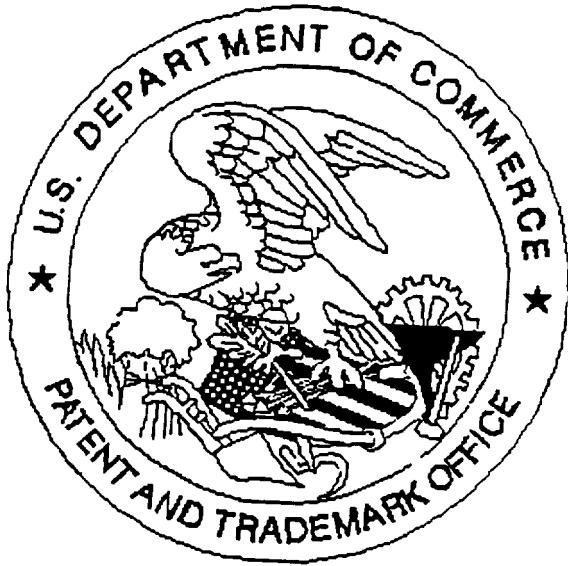


Fig. 5

United States Patent & Trademark Office  
Office of Initial Patent Examination -- Scanning Division



Application deficiencies found during scanning:

1. Application papers are not suitable for scanning and are not in compliance with 37 CFR 1.52 because:
  - All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".  
Pages \_\_\_\_\_ do not meet these requirements.
  - Papers are not flexible, strong, smooth, non-shiny, durable, and white.
  - Papers are not typewritten or mechanically printed in permanent ink on one side.
  - Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
  - Papers contain hand lettering.
2. Drawings are not in compliance and were not scanned because:
  - The drawings or copy of drawings are not suitable for electronic reproduction.
  - All drawings sheets are not the same size. Pages must be either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
  - Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
3. Page(s) \_\_\_\_\_ are not of sufficient clarity, contrast and quality for electronic reproduction.
4. Page(s) \_\_\_\_\_ are missing.
5. OTHER: My Definition